

11/29/00
JC857 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1 53(b))

Attorney Docket
No.

KLR: 1 016.062

First Inventor or Application
Identifier

Paul Tervo, Kenneth Smith,
Clarence Cowan, Mike Dauphinais,
and Martin Koxxy

Title

MEMBRANE PROBING SYSTEM

Express Mail Label No.

EL619334038US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ *Fee Transmittal Form (e.g. PTO/SB/17)

(Submit an original and a duplicate for fee processing)

5. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification

Total pages

30

(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Federally Sponsored Research
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer readable copy
b. ☐ Paper copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 USC 113)

[Total Pages

17

4. Oath or Declaration

[Total Pages

5

- a. ☒ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 16 completed)

- i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s)
named in the prior application,
see 37 CFR §§1.53(d)(2) and 1.33(b)

* Note for Items 1 & 13: In order to be entitled to pay small
entity fees, a small entity statement is required (37 CFR §1.27),
except if one filed in a prior application is relied upon (37 CFR
§1.28)

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☒ 37 CFR §3.73(b) Statement ☒ Power of Attorney
when there is an assignee

9. ☐ English translation document (if applicable)

10. ☐ Information Disclosure Statement (IDS) /PTO
1449 ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

13. ☒ *Small Entity Statements ☒ Statement filed in prior
application.
(PTO/sb/09-12) Status still proper and desired.

14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☒ Other:
check for fees and recording

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information Examiner _____ Group No /Art Unit _____

For CONTINUATION or DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert customer number or attach bar code label here)

or ☒ Correspondence address below

Name Kevin L. Russell

Address 601 SW Second Ave., Suite 1600

City Portland

State

OR

Zip Code

97204-3157

Country

US

Telephone

(503)227-5631

FAX

(503)228-4373

Name (print type)

Kevin L. Russell

Registration No.

28,876

Signature

Date

August 10, 2000

JC857 U.S. PTO

09/637527

11/29/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE UNDER 37 CFR §3.73(b)

Applicants: Paul Tervo, Kenneth Smith, Clarence Cowan, Mike Dauphinais, and Martin Koxxy

Application No: N/A Filed: Concurrently herewith

Title: MEMBRANE PROBING SYSTEM

Cascade Microtech, Inc. (Assignee) an Oregon corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: To:

The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy is attached.

2. From: To:

The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached. (Where one or more of the documents is unrecorded.)

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this Certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: August 10, 2000

Name: Kevin L. Russell

Title: Attorney for the Assignee (a copy of the Power of Attorney is attached)

Signature: 

Chernoff, Vilhauer, McClung & Stenzel, LLP
1600 ODS Tower
601 S W Second Avenue
Portland, Oregon 97204
Tel: (503) 227-5631

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

Complete If Known

Application Number	N/A
Filing Date	August 10, 2000
First Named Inventor	Paul Tervo
Examiner Name	N/A
Group/ Art Unit	N/A
Attorney Docket No.	KLR 1016.062

TOTAL AMOUNT OF PAYMENT \$465

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 03-1550
Deposit Account Name Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

2. ☒ Payment Enclosed

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	690	201	345	Utility filing fee	345
		106	310	206	155	Design filing fee	
		107	480	207	240	Plant filing fee	
		108	690	208	345	Reissue filing fee	
		114	150	214	75	Provisional filing fee	

SUBTOTAL (1) \$345

2. EXTRA CLAIM FEES

				Fee from below	Fee Paid
		Extra Claims			
Total Claims	1	-2 0* = 0	X	9	0
Indep. Claims	1	-3* = 0	X	39	0
Multiple Dependent					

*or number of previously paid, if greater. For reissues, see below.

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
		103	18	203	9	Claims in excess of 20
		102	78	202	39	Independent claims in excess of 3
		104	260	204	130	Multiple dependent claim, if not paid
		109	78	209	39	*Reissue independent claims over original patent
		110	18	210	9	*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
561	40	581	40	Recording each patent assignment per property (times number of properties)	\$120
146	690	246	345	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 C.F.R. 1.129(b))	

Other (specify)

Other (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$120

SUBMITTED BY

Name (print type)	Registration No.	Telephone	Date
Kevin L. Russell	38,292	(503) 227-5631	August 10, 2000
Signature			